From:

Client Name :

Client Address :

To,

Bharat Bhushan Equity Traders Limited

503, Rohit House,

3, Tolstoy Marg,

New Delhi – 110 001

Dear Sir/Madam,

Sub**:** Request for Activation of trading account.

Ref**:** Trading Account Code: \_\_\_\_\_\_\_\_\_\_\_\_\_

Kindly activate my above referred trading account at the earliest to enable me to trade through the same. I would like to confirm that the transactions through the aforementioned trading account would be carried out only by me. I am adducing copy of my PAN card towards my proof of identity along with this letter to enable you to immediately activate the aforementioned trading account. I can be reached at the following contact numbers for seeking confirmation on trades that are executed by me in through the aforesaid trading account.

Mobile No. :

Email :

Yours truly,

(Signature)

Client Code:

Date: \_\_\_/\_\_\_\_/\_\_\_\_\_

To

Bharat Bhushan Equity Traders Ltd

503, Rohit House

3 Tolstoy Marg

New Delhi – 110 001

Dear Sir,

I/We am/are registered client with you. In reference to my/our dealings with you as your client, I/We herewith undertake & confirm following as an addendum to the existing ‘Account Opening Form’ executed between us.

Authorization for Electronic Contract Notes and Other Communication

I/We hereby authorize and confirm to send Contract Note, Bills, Ledgers, Transaction Statement (Funds & Securities), Order/Trade Confirmation Slip and other documents in electronic form at my/our email id as mentioned in my/our Account Opening Form. Further, any change in the email-id, will be communicated to you through a physical letter.

Authorization for Running Account

I/We herewith confirm and authorize you to maintain my/our account on running basis in order to facilitate the transfer of funds across segments/exchange(s) and retain the payout received / credit balance in my/our for my/our future obligation/margin obligation or other liabilities unless I/We instruct otherwise. I/We also aware that the authorization can be revoked at any time at my/our own discretion

In the event, I/We have outstanding obligations on the settlement date, you may retain the requisite securities / funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.

The actual settlement of funds shall be done at least once in the preference period selected below: (Please Tick)

Once in a Calendar Quarter  Once in a Calendar Month

Authorization to activate Market Segments/Stock Exchanges

I/We herewith authorize and request you to activate below segment/stock exchanges for my/our account held with you.

Trading Preference

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| BSE (CM) | NSE (CM) | NSE F&O | NSE (CD) | All |
|  |  |  |  |  |

Financial Details: Annual Income Range: (Please tick which ever is applicable)

Below Rs. 1 Lac  Rs. 1 to 5 Lac  Rs. 5 to 10 Lac

Rs. 10 to 25 Lac  Above Rs. 25 Lac

For the trading in derivatives segments, I/We furnish the following documents as financial information:

|  |  |
| --- | --- |
| List of Documents | (Please Tick) |
| Copy of ITR Acknowledgement |  |
| Copy of Annual Accounts |  |
| Copy of Form 16 in case of Salary Income |  |
| Net worth Certificate |  |
| Salary Slip |  |
| Bank Account Statement for last 6 Months reflecting Income |  |
| Copy of Demat Holding Statements |  |
| Others (Please Specify) |  |

Others

I/We herewith confirm that no action has been taken by SEBI or other authorities against me/us during the last 3 years. I/We also confirm and agree to provide you the information of any action taken by SEBI or other authorities against me/us.

I/We understand the distinction and details regarding the voluntary clause–‘Digitally Signed Contract Notes’ mentioned within the mandatory documents–Member Constituent Agreement/Tripartite Agreement between Stock Broker, Sub-broker and Client.

I/We also confirm that I/We have read and understood the Policies & Procedures of Bharat Bhushan Equity Traders Ltd. made available on the Trading Portal under the link 'Download' (Website:www.bharatbhushan.com).

I/We understand and acknowledge that these Policies and Procedures are subject to change/amend and thus any change(s)/amendment(s) shall be incorporated in the document and placed/updated on the website from time to time.

I/We herewith agree to provide a written request to you for a copy of the Account Opening Form, Policies & Procedures and any other document executed by me/us.

Yours faithfully,



Client Name :

Client Code :